

# Computing Isogeny Classes of Principally Polarized Abelian Surfaces Over the Rationals

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Joint work with Raymond van Bommel, Shiva Chidambaram, and Jean Kieffer.

# Isogeny classes

## Definition

An isogeny between two abelian varieties is a  $\varphi : A \rightarrow B$  such that  $\# \ker \varphi < \infty$ .

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What shape can these take?

# Elliptic curves

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We will find:

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$$E \xrightarrow{[n]} E \xrightarrow{\varphi_1} E_1 \xrightarrow{\varphi_2} \dots \xrightarrow{\varphi_n} E_n = E',$$

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(Chiloyan–Lozano-Robledo 2021) That is all, LMFDB has all the possibilities.

# Abelian surfaces

Very little is known away from elliptic curves over  $\mathbb{Q}$ .

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# Generic approach

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1. List irreducible isogeny types.
2. List the possible degrees for each type.
3. Search for all isogenies of a given type and degree.
4. Reapply as needed.



# Irreducible isogeny types

Irreducible isogeny types depend on  $\dim A$  and  $\text{End}(A)^\dagger$ .

There is a bijection

$$\left\{ \begin{array}{l} \varphi : A \rightarrow B : \\ \begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ \wr \downarrow \lambda_A & & \wr \downarrow \lambda_B \\ A^\vee & \xleftarrow{\varphi^\vee} & B^\vee \end{array} \\ \# \ker \varphi < \infty \end{array} \right\} \longleftrightarrow \left\{ (\mu, K) : \begin{array}{l} \mu \in \text{End}(A)^\dagger, \mu > 0 \\ K \subseteq A[\mu] \text{ maximal isotropic} \end{array} \right\}$$

$$\varphi \longmapsto (\lambda_A^{-1} \circ \varphi^\vee \circ \lambda_B \circ \varphi, \ker \varphi)$$

$$\varphi : (A, \lambda_A) \rightarrow (A/K, \lambda_{A/K}) \longleftarrow (\mu, K)$$

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Elliptic curves/ $\mathbb{Q}$ :  $\text{End}(A) = \text{End}(A)^\dagger = \mathbb{Z}$

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For higher dimension: If  $\text{End}(A)^\dagger = \mathbb{Z}$ , sufficient to consider  $\mu \in \{\ell, \ell^2\}$ .

## van Bommel's trick

$$\{\varphi : A \rightarrow B : \varphi \text{ isogeny between PPAV}\} \longleftrightarrow \left\{ (\mu, K) : \begin{array}{l} \mu \in \text{End}(A)^\dagger, \mu > 0 \\ K \subseteq A[\mu] \text{ maximal isotropic} \end{array} \right\}$$

If  $\text{End}(A)^\dagger = \mathbb{Z}$ , sufficient to consider  $\mu \in \{l, l^2\}$ .

### Lemma

$K \subset A[l^e]$  maximal isotropic  $\implies pK \cap A[l^{e-2}] \subset A[l^{e-2}]$  maximal isotropic.

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where  $\deg \varphi_i \in \{l_i^{\dim A}, l_i^{2 \dim A}\}$  for  $l_i$  prime.

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Furthermore, if  $\dim A = 2$ , we can assure that  $K_i = \ker \varphi_i$  is:

- 1-step: maximal isotropic subgroup of  $A[\ell_i]$ , or
- 2-step: maximal isotropic subgroup of  $A[\ell_i^2]$  and  $K_i \simeq (\mathbb{Z}/\ell_i\mathbb{Z})^2 \times \mathbb{Z}/\ell_i^2\mathbb{Z}$ .

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$E/\mathbb{Q}$ : maximal isotropic subgroups of  $E[\ell]$ , i.e., kernel of size  $\ell$ .

Typical surface: maximal isotropic subgroups  $A[\ell^2]$  are also a possibility, i.e., kernels of size  $\ell^2$  or  $\ell^4$ .

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## Possible degrees

### Theorem (Mazur)

Let  $\ell$  be a prime such that exists an isogeny  $\varphi : E \rightarrow E'$  with kernel of order  $\ell$ .  
Then  $\ell \leq 19$  or  $\ell \in \{37, 43, 67, 163\}$



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For  $\text{End}(A^{\text{al}}) = \mathbb{Z}$  we can instead do one surface at a time (Dieulefait).

### Algorithm (Dieulefait)<sup>1</sup>

Input: Conductor of  $A$  and a finite set list of L-polynomials

Output: Finite superset of primes  $\ell$  with reducible mod- $\ell$  Galois representation.

In particular, the primes  $\ell$  for which 1-step or 2-step  $\ell$ -isogenies are possible.

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### Example

$$C: y^2 + (x + 1)y = x^5 + 23x^4 - 48x^3 + 85x^2 - 69x + 45$$

the only possibilities are isogenies of degree  $31^2$ .

<sup>1</sup>See also Banwait–Brumer–Kim–Klagsbrun–Mayle–Srinivasan–Vogt (2023)

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$E/\mathbb{Q}$ :  $\ell \leq 19$  or  $\ell \in \{37, 43, 67, 163\}$ .

Typical surface: Algorithmically produce finite list of possible  $\ell$  for  $A$ .



3. Search for all isogenies of a given type and degree.
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# Searching for isogenies

For elliptic curves one may use modular polynomials  $\phi_\ell(x, y) \in \mathbb{Z}[x, y]$ . Defined by

$$\phi_\ell(j, j') = 0 \iff \exists \varphi : E_j \longrightarrow E_{j'} \text{ such that } \ker \varphi \simeq \mathbb{Z}/\ell\mathbb{Z}$$



The size grows as  $\tilde{O}(\ell^3)$

- $\ell = 17$ : 23 KB, 8 pages 
- $\ell = 163$ : 28 MB, 5000+ pages 

Modular polynomials for surfaces are impractical!

More variables  $\phi_\ell(x_1, x_2, x_3, y) \in \mathbb{Z}[x_1, x_2, x_3, y]$ .

Size grows as  $\tilde{O}(\ell^{15})$ .

- $\ell = 2$ : 1.4 MB 
- $\ell = 3$ : 400 MB 

We will instead use complex analytic methods.

## Geometric invariants

$$E : y^2 = x^3 - 27c_4x - 54c_6$$

We may define the  $j$ -invariant in two ways:

- Algebraically:  $j(E) = 1728 \frac{c_4^3}{c_4^3 - c_6^2}$
- Modularly:  $j(E) = j(\tau) = 1728 \frac{E_4(\tau)^3}{E_4(\tau)^3 - E_6(\tau)^2}$ , where  $E(\mathbb{C}) \simeq \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$ ,  $\text{im } \tau > 0$

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$$C : y^2 = f(x), \quad f \in \mathbb{Z}[x] \text{ and } \deg f \in \{5, 6\}$$

One of the possible analogues of  $c_4, c_6$  are the Igusa–Clebsch invariants

$$(l_2, l_4, l_6, l_{10}) \in \mathbb{P}(2, 4, 6, 10)$$

These can also be associated to Siegel modular forms via

$$\text{Jac}(C)(\mathbb{C}) \simeq \mathbb{C}^2/(\mathbb{Z}^2 + \tau\mathbb{Z}^2), \text{ with } \tau \in \mathbb{H}_2.$$

Precisely, there exists  $\lambda \in \mathbb{C}^\times$  such that  $\lambda^k l_k(\tau) = l_k(C) \in \mathbb{Z}$



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Unfortunately,  $I_2$  and  $I_6$  are only quotients of modular forms.

We opt instead for the modular forms  $M_k$  of weight  $k \in \{4, 6, 10, 12\}$ , such that

$$\begin{aligned} M_4 &= 2^{-2} I_4 & M_6 &= 2^{-3} (I_2 I_4 - 3 I_6) \\ M_{10} &= -2^{-12} I_{10} & M_{12} &= 2^{-15} I_2 I_{10} \end{aligned}$$

## Choice of generators

$$\text{Jac}(y^2 = f(x))(\mathbb{C}) \simeq \mathbb{C}^2 / (\mathbb{Z}^2 + \tau\mathbb{Z}^2), \text{ with } \tau \in \mathbb{H}_2.$$

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These have integral Fourier coefficients (and we can also represent  $E \times E'$ ).

### Theorem

$(M_4, M_6, M_{10}, M_{12})$  generate the graded  $\mathbb{C}$ -algebra of Siegel modular forms of  $\mathbb{H}_2$ .

### Theorem (Igusa)

*If  $f$  is a Siegel modular form of even weight  $k$  with integer Fourier coefficients, then  $12^k f \in \mathbb{Z}[M_4, M_6, M_{10}, M_{12}]$ .*

# Kieffer's trick

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## Theorem (Kieffer 2022)

Assume that there exists  $\lambda \in \mathbb{C}^\times$  such that  $\lambda^k M_k(\tau) \in \mathbb{Z}$ .

If  $f$  is a Siegel modular form of even weight  $k$  with integer Fourier coefficients,

$$\prod_{\gamma} \left( X - (12\lambda \ell^{c_\gamma})^k f(\gamma\tau) \right)$$

has **integer** coefficients, where  $\gamma$  loops over specific coset representatives for the Hecke operator  $T(\ell)$  (resp.  $T_1(\ell^2)$ ) and  $0 \leq c_\gamma \leq 2$  (resp. 3).

$$\{\mathbb{C}^2 / (\mathbb{Z}^2 + \gamma\tau\mathbb{Z}^2)\}_{\gamma} = \{\text{surfaces 1-step (resp. 2-step) isogenous to } \mathbb{C}^2 / (\mathbb{Z}^2 + \tau\mathbb{Z}^2)\}$$

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In other words, if we start with a  $\lambda^k M_k(\tau) \in \mathbb{Z}$ , then

$$(12\lambda \ell^{c_\gamma})^k M_k(\gamma\tau)$$

can be grouped in Galois orbits of algebraic **integers**.

## Complex analytic approach

Given  $(m_4, m_6, m_{10}, m_{12}) \in \mathbb{P}(4, 6, 10, 12)(\mathbb{Z})$  and  $\ell$ .

Compute complex balls that provably contain:

1.  $\tau \in \mathbb{H}_2$
2.  $\lambda \in \mathbb{C}^\times$  such that  $\lambda^k M_k(\tau) = m_k$
3. For each coset representative  $\gamma$  of the Hecke operator  $T(\ell)$  (or  $T_1(\ell^2)$ )

$$(12\lambda\ell^{c_\gamma})^k M_k(\gamma\tau).$$

Keep the  $\gamma$ 's such that the computed balls for  $(12\lambda\ell^{c_\gamma})^k M_k(\gamma\tau)$  contain an integer.

## Complex approach

For  $\ell = 31$  and  $C: y^2 + (x + 1)y = x^5 + 23x^4 - 48x^3 + 85x^2 - 69x + 45$  there is only one  $\gamma$  such that the ball  $(12\lambda\ell^{c_\gamma})^4 M_4(\gamma\tau) \cap \mathbb{Z} \neq \emptyset$ , and

$$(12\lambda\ell^{c_\gamma})^4 M_4(\gamma\tau) = \alpha^2 \cdot 318972640 \pm 7.8 \times 10^{-47}$$

$$(12\lambda\ell^{c_\gamma})^6 M_6(\gamma\tau) = \alpha^3 \cdot 1225361851336 \pm 5.5 \times 10^{-39}$$

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We can confirm that these are indeed integers by certifying the vanishing of

$$\prod_{\gamma} \left( (12\lambda\ell^{c_\gamma})^k M_k(\gamma\tau) - m'_k \right) \in \mathbb{Z}.$$

by recomputing the relevant  $(12\lambda\ell^{c_\gamma})^k M_k(\gamma\tau)$  at higher precision.

## Reconstructing curves

Given  $(m'_4, m'_6, m'_{10}, m'_{12}) = (318972640, 1225361851336, 10241530643525839, \dots)$   
we want to find a curve  $C'$  isogenous to  $C$  over  $\mathbb{Q}$ .

By applying Mestre's algorithm we obtain

$$y^2 = -1624248x^6 + 5412412x^5 - 6032781x^4 + 876836x^3 - 1229044x^2 - 5289572x - 1087304,$$

a quadratic twist by  $-83761$  of the desired curve

$$C' : y^2 + xy = -x^5 + 2573x^4 + 92187x^3 + 2161654285x^2 + 406259311249x + 93951289752862.$$

Now reapply the algorithm to  $C'$ , and we only find the original curve.



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Computing the isogeny class of this example took 113 minutes of CPU time.

Almost all of the time is spent on certifying the results.

One can independently create a certificate for the isogeny (6.5 hours and 3 MB).

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We would like be able to also obtain a certificate for the completeness of the

Originally 63 107 typical genus 2 curves, split amongst 62 600 isogeny classes.

By computing isogeny classes, we found 21 923 new curves.

Only 2 523 new curves are explained by Richelot isogenies.

Size	1	2	3	4	5	6	7	8	9	10	12	16	18
Count	51 549	2 672	6 936	420	756	164	40	45	3	2	3	9	1

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This explains the 6913  $\triangle$  and the 756  $\bowtie$  we found.

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The whole computation took 75 hours. Only 3 classes took more than 10 minutes:

- [349.a](#) 56 min, found isogeny of degree  $13^4$ .
- [353.a](#) 23 min, found isogeny of degree  $11^4$ .
- [976.a](#) 19 min, checking that no isogeny of degree  $29^4$  exists.

## Upcoming to LMFDB

There is a new set of 5 235 806 curves soon to be added to LMFDB.

Of these, 1 823 592 are typical, split amongst 1 538 149 isogeny classes.

We found  $687\,763+\varepsilon$  new curves (in 97 days).

Of those 289 553 could be obtained via Richelot isogenies.

Size	1	2	3	4	5	6	7	8	$\geq 9$
#	1 098 812	125 694	212 000	58 310	16 925	15 459	498	6 073	4 270

We discovered irreducible isogenies of degree

$$\{2^2, 3^2, 2^4, 5^2, 7^2, 3^4, 13^2, 17^2, 5^4, 31^2, 7^4, 11^4, 13^4\}.$$

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Some observations per size:

- 2: 75% degree  $2^2$ , 22% degree  $3^4$ , and then  $3^2, 5^4, 5^2, 7^4, 7^2, \dots$
- 3: 99.2% are  $\triangle$  made up by degree  $2^4$  isogenies.
- 4: 97.8% are  $\succ$  made up by degree  $2^2$  isogenies.
- 5: 99.8% are  $\bowtie$  made up by degree  $2^4$  isogenies.
- 6: 75% + 15% are graphs made up by degree  $2^2$  isogenies.

# Life, the universe, and everything

42 Richelot isogenous curves with conductor  $497051100 = 2^2 \cdot 3^3 \cdot 5^2 \cdot 7^2 \cdot 13 \cdot 17^2$

